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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Eugene M. Lee et al.

Serial No. 10/692,793

Filed: October 27, 2003



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: Group Art Unit: 2175  
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: Examiner:

For: COMPUTER ASSISTED AND/OR IMPLEMENTED PROCESS AND SYSTEM FOR ANNOTATING AND/OR LINKING DOCUMENTS AND DATA, OPTIONALLY IN AN INTELLECTUAL PROPERTY MANAGEMENT SYSTEM

**INFORMATION DISCLOSURE STATEMENT**

Honorable Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Copies of any cited U.S. Patents and U.S. Patent Publications are not being submitted in accordance with 37 CFR 1.98(a)(2)(i).

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

In accordance with 37 C.F.R. § 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search had been made or that information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of

Serial No. 10/692,793

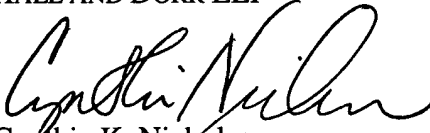
publication indicated for an item is taken from the face of the item, and Applicant reserves the right to prove that the date of publication is in fact different.

Please note that three of the references listed on the attached PTO-1449 forms were cited by the Examiner in related patent application Serial Nos. 09/875,943 and 09/875,954, both filed June 8, 2001. Since the Examiner did not provide copies of these references, Applicant is unable to submit them in the present application. Applicant submits herewith a copy of each of the Notices of References Cited from the related applications, which list these references. The Examiner is respectfully requested to consider and initial these references.

No fee is believed to be required; however, the Commissioner is authorized to charge any deficiency in any fees pursuant to 37 CFR § 1.17 associated with this communication and to credit any excess payment to Deposit Account No. 08-0219.

Respectfully submitted,

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Date:

May 26, 2004

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>				ATTY. DOCKET NO. 113708.129 US1		SERIAL NO. 10/692,793	
				APPLICANT Eugene M. LEE et al.			
				FILING DATE October 27, 2003		GROUP 2175	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	6,694,331 B2	02/17/04	Lee			06/08/01	
	6,606,744 B1	08/12/03	Mikurak			11/22/99	
	6,549,894 B1	04/15/03	Simpson et al.			05/07/99	
	2002/0069154 A1	06/06/02	Fields			05/08/01	
	6,385,594 B1	05/07/02	Lebda et al.			05/08/98	
	6,298,327 B1	10/02/01	Hunter et al.			03/08/95	
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	5,995,947	11/30/99	Fraser et al.			09/12/97	
	5,991,751	11/23/99	Rivette et al.			06/02/97	
	5,895,468	04/20/99	Whitmyer, Jr.			10/07/96	
	5,819,092	10/06/98	Ferguson et al.			10/06/97	
	5,754,840	05/19/98	Rivette et al.			01/23/96	
	5,175,681	12/29/92	Iwai et al.			12/23/86	
EXAMINER				DATE CONSIDERED			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <b>(PTO-1449)</b>				ATTY. DOCKET NO. 113708.129 US1	SERIAL NO. 10/692,793
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				FILING DATE October 27, 2003	GROUP 2175
<b>U.S. PATENT APPLICATIONS</b>					
EXAMINER'S INITIALS	SERIAL NO.	FILING DATE	NAME	TITLE	
	09/409,524	09/30/99	Lee	Systems and Methods for Preparation of an Intellectual Property Filing in Accordance with Jurisdiction- and/or Agent-Specific Requirements	
	09/460,806	12/14/99	Lee	Fee Transaction System and Method for Intellectual Property Acquisition and/or Maintenance	
	09/875,937	06/08/01	Lee	Apparatus for and Method of Searching and Organizing Intellectual Property Information Utilizing a Field-of-Search	
	09/875,954	06/08/01	Lee	Apparatus for and Method of Searching and Organizing Intellectual Property Information Utilizing a Classification System	
	09/875,943	06/08/01	Lee	Apparatus for and Method of Searching and Organizing Intellectual Property Information Utilizing an IP Thesaurus	
	10/101,749	03/21/02	Lee	Apparatus for and Method of Analyzing Intellectual Property Information	
	10/229,273	08/28/02	Lee	Computerized Intellectual Property Integrated Office System and Method	
EXAMINER				DATE CONSIDERED	

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<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No
	JP 11134399 A	05/21/99	Japan			X	
	JP 11039394	02/12/99	Japan			X	
	JP 10171843 A	06/29/98	Japan			X	
	WO 98/26343 A2	06/18/98	WIPO			X	
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	www.inventnet.com, printed January 22, 2003, 5 pages.						
	www.inventnet.com, printed January 21, 2003, 11 pages.						
	www.lloydwise.com, printed January 21, 2003.						
	Lasinski, M. and D. Davison, "Systems & Tools for Visualizing & Organizing IP Portfolios to Meet Business & Licensing Objectives", LES Annual Meeting, San Antonio, Texas, October 27, 1999, 10 sheets.						
	Lucas, Carl, "Visualization of Intellectual Property: Visualization Framework, Patent Maps, Patent Trees", LES Annual Meeting, San Antonio, Texas, October 27, 1999, 19 sheets.						
	Aureka 7.0: Open Platform for Intellectual Property Asset Management, materials distributed at 1999 LES Annual Meeting, San Antonio, Texas, October 24-27, 1999, 18 pages.						
	Pruzin, Daniel, "WIPO Outlines Nine-Point Agenda for Tackling IP Protection on Internet", <i>Electronic Commerce &amp; Law Report</i> , Vol. 4, No. 36, BNA, September 22, 1999, pp. 849-850.						
	Tapling, Peter, Aurigin, Presentation at Licensing Executives Society Michigan Chapter Meeting, September 17, 1999, 32 pages.						
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	Computer Packages, Inc., CPI Homepage, www.computerpackages.com, printed July 28, 1999, 14 pages						
	Herhold, Scott, "Patent War Pending: Once-arcane field emerges as battleground between online start-ups and established companies", <i>San Jose Mercury News</i> , July 18, 1999 pp. 1-7.						
	"Patent Examiner's Reference Tool User's Guide", USPTO Publication, Office of the CIO, Version 2.0, April 1999. (Listed in the attached Notices of References Cited.)						
	Lovett, Wayne J., "Choosing the Right IP Software", <i>IP Worldwide</i> , March/April 1999 pp. 31-34.						
	Lovett, Wayne J., "Special Report: The New Essentials for Managing IP: Choosing the Right IP Software", <i>IP Worldwide</i> , March/April 1999, 11 pages.						
EXAMINER				DATE CONSIDERED			

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<p align="center"><b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b></p> <p align="center">(PTO-1449)</p>	<p>ATTY. DOCKET NO. 113708.129 US1</p>	<p>SERIAL NO. 10/692,793</p>
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	<p>FILING DATE October 27, 2003</p>	<p>GROUP 2175</p>
<p align="center"><b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b></p>		
	<p>Stephens, Keith, "Creating and Implementing the IP Plan", <i>IP Worldwide</i>, March/April 1999, pp. 35-37.</p>	
	<p>Stephens, Keith, "Creating and Implementing the IP Plan", <i>IP Worldwide</i>, March/April 1999, 7 pages.</p>	
	<p>Woodbridge, Richard C. and Paul A. Gardon, "Selecting an IP Docket Management System", <i>Intellectual Property Today</i>, January 1999, pp. 25-27.</p>	
	<p><a href="http://www.lightlink.com/bbm">www.lightlink.com/bbm</a>, printed January 17, 1999.</p>	
	<p>PCT-Easy, User Reference Manual, PCT-EASY V 2.80, WIPO (<a href="http://www.wipo.int/">www.wipo.int/</a>), January 1999.</p>	
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	<p>Vollendorf, Stefanie, "IP Docketing Software—A Practical Tool", <i>Intellectual Property Today</i>, May 1998, pp. 54-56 and 58.</p>	
	<p>Dutton, Gail, "Protecting Intellectual Property", <i>Chemical Market Reporter</i>, March 23, 1998.</p>	
	<p><a href="http://web.archive.org/web/19961219063059/http://www.inventnet.com">http://web.archive.org/web/19961219063059/http://www.inventnet.com</a>, printed November 7, 1996, 14 pages.</p>	
	<p>Forstner, James A., "Managing international patent litigation", <i>Managing Intellectual Property Litigation Yearbook</i>, 1995, pp. 3-6.</p>	
	<p>Stallings, William Ph.D., "Computer Organization and Architecture", MacMillan Publishing Company, 1993, preface and contents, pp. iii – x.</p>	
	<p>Spohn, Darren L., "Data Network Design", McGraw-Hill, Inc., 1993, contents and preface, pp. vii – xxx.</p>	
	<p>Gitlin, Richard D., Jeremiah F. Hayes, and Stephen B. Weinstein, "Data Communications Principles", Plenum Press, 1992, preface and contents, pp. vii – xix.</p>	
	<p>Green, James Harry, "The Irwin Handbook of Telecommunications", Irwin Professional Publishing, 2d ed., 1992, preface and table of contents, pp. iii – xv.</p>	
	<p>Thomson-Derwent's web site. <a href="http://www.derwent.com">www.derwent.com</a>. (Listed in the attached Notices of References Cited.)</p>	
<p>EXAMINER</p>	<p>DATE CONSIDERED</p>	

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